

## Private Venture Capital Sources

Source: *Entrepreneur's Ultimate Directory, 2005*

*Note: The Commonwealth of Kentucky, by permission of Greater Louisville Inc., provides this list for reference and convenience only. No endorsement of any company nor any guarantee as to the accuracy or completeness of the information contained within this list of Private Venture Capital Sources is stated or implied.*

### Alliance group, Inc.

Mike Pitino  
9505 Williamsburg Plaza, Suite 101  
Louisville, KY 40222  
(502) 425-3715  
Stages for investment: Early stage  
and later stages  
Size of investment: up to \$1 million  
Industries preferred: Broad

### bCatalyst Advisors

124 North First Street  
Louisville, KY 40202  
(502) 583-0400  
(502) 583-5606  
[www.bccatalyst.com](http://www.bccatalyst.com)  
Email: [info@bccatalyst.com](mailto:info@bccatalyst.com)

### Blue Equity, LLC

Jonathan Blue  
Preston Pointe  
333 E. Main Street  
Louisville, KY 40202  
(502) 589-8181  
Stages for investment: all  
Size of investment: \$250k-\$20m  
Industries preferred: real estate,  
financial services, publishing, media  
and a sports and entertainment  
platform traditional brick and mortar  
businesses

### Bluegrass Angels

Dean Harvey  
208 Mathews Building, University of  
Kentucky  
Lexington, KY 40506-0047  
(859) 257-1930  
Web Site: <http://www.lexicc.com>  
Description: Primarily seed  
Funding Limits: \$50k to \$1000k  
Types of Businesses Funded:  
technology with IP preferred

### **C-Cap /Queen City Angels**

Jim Cunningham

11427 Reed Hartman Highway

Cincinnati, OH 45241

(513) 618-6440

Web Site:

<http://www.c-cap.net/angels.html>

Description: Pre-seed, seed and early stage

Funding Limits: Up to \$750k

Types of Businesses Funded:

Broad interests

### **Cobalt Ventures**

Todd Blue

PO Box 1509

Louisville, KY 40201

(502) 589.8811

Web Site:

<http://www.cobaltventures.com>

Stages for investment: established companies with revenue

Size of investment: Up to \$5 million

Industries preferred: real estate, commodities, materials handling, transaction-based businesses

### **Commonwealth Seed Capital**

Margaret C. Bell

(513) 361-7956

[margaret.bell@fortwashington.com](mailto:margaret.bell@fortwashington.com)

Stages for investment: Early-stage natural products companies

Size of investment: \$50,000 to \$1,000,000

Industries preferred:

pharmaceutical, biotechnology, nutraceutical, functional food and ag-biotech

### **Evermore Fund**

Dean Holland

Managing Member

Evermore Investments, LLC

Anchorage Crossings

P.O. Box 23539

11405 Park Road, Suite 180

Anchorage, KY 40223

(502) 292-2393

Web Site:

[www.evermoreinvestments.com](http://www.evermoreinvestments.com)

### **Evermore Fund (cont'd)**

Stages for investment: revenue  
phase near cash flow positive  
Size of investment: \$50k - \$500k  
Industries preferred: technology,  
healthcare, manufacturing,

### **Futurity Investment Group, LLC**

Rod McNerney  
900 Baxter Avenue  
Louisville, KY 40204  
(502) 583-3038  
Stages for investment: Middle to  
latter stages and buy outs  
Size of investment: \$500,000 to  
\$2,500,000  
Industries preferred:  
Manufacturing and service

### **Kentucky Highlands Investment Corporation / Mountain Ventures**

Elmer Parlier  
362 Old Whitley Road  
London, KY 40743-1738  
(606) 864-5175  
Web site: <http://khic.org>  
Description: Seed through  
expansion  
Funding Limits: \$150k to \$400k  
Types of Businesses: low-tech

### **Kentucky Seed Fund**

George Emont  
201 E. Jefferson  
Suite 315A  
Louisville, KY 40202  
(502) 569-1590  
Description: Seed Stage  
Funding Limits: \$50k-\$200k  
Types of Businesses Funded:  
medical Devices and biotechnology,  
healthcare services and health care  
Information technology

### **Meritus Ventures**

Ray Moncrief  
P.O. Box 1738  
London, KY 40743  
606-864-5175  
Stages: Expansion  
Size of investment: \$250,000-  
\$2,000,000  
Industries preferred: Primarily  
technology, service, manufacturing

### **OPM Ventures**

Chuck Woods, VP  
111 W. Washington St. #300  
Louisville, KY 40202  
502-583-8892  
Web site: [www.opmservices.net](http://www.opmservices.net)  
Description: Pre-seed, seed,  
early stage  
Funding Limits: \$100,000 -  
\$2,000,000  
Types of Businesses Funded:  
Transportation, Life Sciences,  
Entertainment, Manufacturing,  
Broadband

### **Pattco Inc.**

Tom Dieruf  
10000 Shelbyville Rd.  
Louisville, KY 40223  
(502) 245-6623  
Stages for investment: later stage  
companies  
Size of investment: \$2 million  
and up

### **River Hill Capital**

W. James Lintner, Jr.  
2904 Eastpoint Pkwy  
Louisville, KY 40223-4186  
(502) 326-4922  
Web Site:  
<http://www.riverhillcapital.com>  
Stages for investment: Seed for  
revenue growth  
Size of investment: Up to \$500k  
Industries preferred: most  
industries including healthcare,  
insurance, real estate

### **Southern Appalachian Fund**

Ray Moncrief  
P.O. Box 1738  
London, KY 40743  
606-864-5175  
Web Site:  
<http://southappfund.com>  
Stages for investment: Early to  
expansion  
Size of investment: \$250,000-  
\$750,000  
Industries preferred: Primarily  
technology, service, manufacturing,  
Low income census tracts

### **Todd Investment Advisors, Inc.**

101 S. 5th Street, Suite 3160  
Louisville, KY 40202  
Toll Free: (888) 544-8633  
Local: (502) 585-3121  
[www.toddinvestment.com](http://www.toddinvestment.com)

### **Triathlon Medical Ventures**

George Emont  
201 E. Jefferson  
Suite 315A  
Louisville, KY 40202  
(502) 569-1590  
Web Site: [www.t.mvp.com](http://www.t.mvp.com)  
Stages for investment: First  
institutional round  
Size of investment: Initially, \$500k-  
\$3mm, up to \$7mm cumulatively  
Industries preferred: Medical  
Devices and biotechnology

### **Yearling Fund**

Dale Boden  
Preston Pointe  
333 E. Main Street  
Louisville, KY 40202  
(502) 587-1860  
Stages for investment: Seed for  
revenue growth  
Size of investment: \$100k to \$300k  
Industries preferred:  
Technology, Health